



SOMAIYA

VIDYAVIHAR UNIVERSITY

Somaiya School of Humanities and Social Science

QUESTION PAPERS

BRANCH: Bachelor of Science (Economics)	SEM: III
	APR-2022

Sr. No.	Subject	Available
1.	Basics of Capital Market	
2.	Wealth Management	
3.	Public Finance	
4.		
5.		
6.		
7.		
8.		
9.		
10.		



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Semester: November 2021- April 2022			
Examination: ESE Examination April 2022(UG Programme)			
Programme code: Programme: BSC ECONOMICS		Class: SY	Semester: 3
Name of the Constituent College: S K Somaiya College (SKSC)		Name of the department: Economics	
Course Code:	Name of the Course: Basics of capital market.		Duration: 2 Hrs Max. Marks: 60
Instructions:			

Question No.		Max. Marks
Q-1: A	Attempt any one set of the following:	
1	What is mutual funds and benefits of mutual funds.	8
2	Short note on Commodity Market.	7
	OR	
1	What are the Powers and functions of SEBI?	8
2	Explain: Exchange Traded Funds.	7
Q-2	Attempt any one set of the following:	
1	Critically examine the role of SEBI.	8
2	What is capital market? Explain any two features of capital market.	7
	OR	
1	Explain: Future, Forward, Options and Swaps in detail	8
2	Define T+2 settlements.	7
Q-3	Attempt any one set of the following:	
1	Write explanatory note on bond price, interest rate and yield relationship.	8
2	Distinguish between Commercial Paper and Certificate of deposit.	7
	OR	
1	What is fixed income/ debt market? And who are the participants in fixed income debt market.	8
2	Write a short note on Treasury Bill.	7
Q-4	Answer in one sentence:	
1	What is covered bonds?	8
2	Define Bull market and Bear market.	
3	Definition of Options contract.	
4	What is basic theory of interest?	
5	Mention any one major achievement of SEBI.	
6	What is full form of SAT?	
7	Meaning of insider trading.	
8	What is FPO?	

Q-4 B	State whether the following statements are true or false :	7
1	Mutual fund is a financial intermediary.	
2	SEBI is not responsible for capital market surveillance.	
3	The commercial bill market in India is underdeveloped.	
4	The capital market not only mobilizes savings but also channelizing them into investment.	
5	Trade bills are nothing but treasury bills.	
6	There is no entry and exit load applicable for mutual fund.	
7	BSE was established in the year of 1992.	



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Semester: July 2022-Sep 2022 Examination: ESE Examination April 2022(UG Programme)		
Programme code: Programme:	Class: SY BSc	Semester: III
Name of the Constituent College: S K Somaiya College (SKSC)	Name of the department: Economics	
Course Code:	Name of the Course: Wealth Management	Duration: 2 Hrs Max. Marks: 60
Instructions:		

Question No.		Max. Marks
Q-1	1) What is a derivative? 2) Explain the difference between Futures and Options? OR 1) What are the various risks associated with investment in fixed income securities? 2) What are fixed income securities all about?	7 8 7 8
Q-2	1) Explain various insurance plan/ policy. 2) Elucidate derivative for investment as asset class OR 3) What is investment strategy for HNI client? 4) Amol is ready to invest 2cr. @ 15% return, Explain investment plan.	7 8 7 8
Q-3	1) What is the role of an Investment Advisor? 2) Explain how portfolio manager manage profitable portfolio? OR 3) Write investment plan of your project 4) Explain key take way of your project	7 8 7 8
Q-4	a) Conceptual questions (One mark each) 1) What is insurance? 2) Role of fixed income security in portfolio? 3) What is role of stocks broker? 4) What is wealth management in one sentence? 5) What is investment plan for students? 6) Who is regulator for financial market? 7) What is RBI policy rate CRR?	7
Q-4	b) Filling the blanks (One mark each) 1) Portfolio manager is responsible for to investor 2) give investment plan to investor/ client 3) is regulator in financial market	8

	4) Investor expectation is price will down is derivative option 5) Call option when expected price will 6) is risk measure for stock price 7) is use insider information for trading 8) AUM in mutual fund Full form ...	
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Note:

Theory question of 15 marks may be divided into two sub questions of 7/8 and 10/5 Marks.



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Semester: November 2021- April 2022 Examination: ESE Examination April 2022(UG Programme)		
Programme code: Programme:	Class: SY	Semester: III
Name of the Constituent College: S K Somaiya College (SKSC)	Name of the department: ECONOMICS	
Course Code:	Name of the Course: PUBLIC FINANCE	Duration: 2 Hrs Max. Marks: 60
Instructions: 1) Draw neat diagrams 2) assume suitable data whenever necessary		

Question No.		Max. Marks
Q-1	a) Illustrate coase theorem of property rights ? b) By defining the term externalities ,briefly enumerate the associated problems and solutions. OR	8mks 7mks 15mks
Q - 1	Highlight the major provisions of union budget 2022	
Q-2	Discuss the merits and demerits of direct tax system? OR	15mks
Q-2	a) Enumerate the principles of public debt management b) Write a brief note on deadweight loss with respect to taxation system	8mks 7mks
Q-3	a) By briefly discussing the types of GST ,mention the different slabs of GST. b) Write a brief note on finance commission in India ? OR	8mks 7mks
Q-3	Elaborate the main features of FRBM act2003 along with the recent amendments and escape clauses ?	15mks
Q-4	a) Answer in one sentence i) Mention the items excluded from the ambit GST regime . ii) Write the down the formulae for deadweight loss iii) What do you mean by unbalanced budget iv) Explain the term public finance.	7mks

	<p>v) Write the down the formulae for deadweight loss</p> <p>vi) What do you mean by balanced budget</p> <p>vii) What do you mean by counter cyclical fiscal policy</p> <p>b) Choose the correct option from the given alternatives.</p> <p>i) The difference between total expenditure and total receipts except loans and other liabilities is called</p> <p>a. Fiscal deficit</p> <p>b. Budget deficit</p> <p>c. Primary deficit</p> <p>d. Revenue deficit b]</p> <p>ii) The difference between fiscal deficit and interest payment during the year is called</p> <p>a. Fiscal deficit</p> <p>b. Budget deficit</p> <p>c. Primary deficit</p> <p>d. Revenue deficit</p> <p>iii) Among the following canons of taxation which one has been given by Adam Smith:</p> <p>a. Canon of Uniformity</p> <p>b. Canon of productivity</p> <p>c. canon of diversity</p> <p>d. canon of equity</p> <p>iv) Wagner's law is related to</p> <p>a. Public expenditure</p> <p>b. Public revnue</p> <p>c. Govt debt</p> <p>d. Private expenditure</p>	<p>8mks</p>
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v) Who is the father of public finance ?

- a. Dalton
- b. Pigou
- c. Smith
- d. Musgrave

vi) Changes related to GST can be done under/by

- a. Budget
- b. GST Council
- c. Economic survey
- d. None

vii) Pigouvian tax is a tax on.

- a. taxation in the share market
- b. transaction in the money market
- c. transaction on the commodity market
- d. transaction on the environmental externalities

viii) The greater the elasticity of supply, the greater is

- a. incidence of tax on buyers
- b. incidence of tax on sellers
- c. impact of tax on sellers
- d. impact of tax on buyers