

SOMAIYA

VIDYAVIHAR UNIVERSITY



Dr. Shantilal K. Somaia School of Commerce and Business Studies

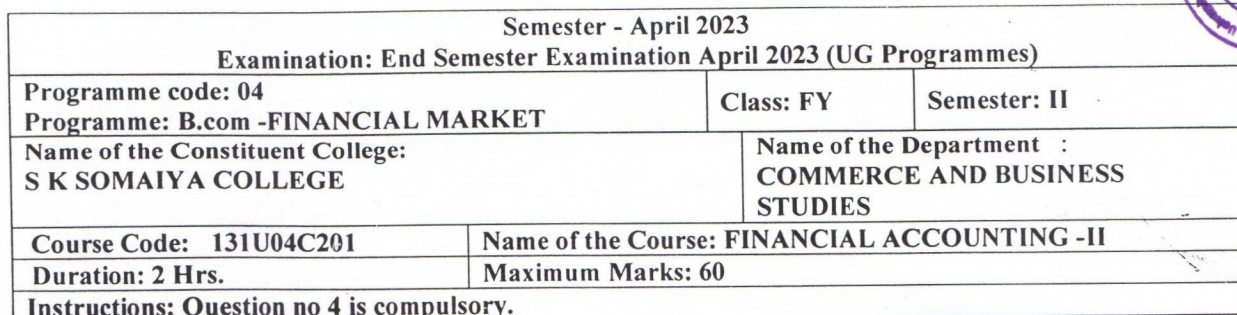
QUESTION PAPERS

BRANCH: Bachelor of Commerce (Financial Market)	SEM: II
	APR-2023

Sr. No.	Subject	Available
1.	131U04C201 – Financial Accounting II (A)	
2.	131U04C201 – Financial Accounting II (B)	
3.	131U04N201 – Environmental Science	
4.	131U04K201 – Computer Skill (A)	
5.	131U04K201 – Computer Skill (B)	
6.	131U04C202 – Management Accounting (A)	
7.	131U04C202 – Management Accounting (B), C & D	
8.	131U04C203 – Principles of Management (A)	
9.	131U04C203 – Principles of Management (B), C & D	
10.	131U04C204 – Introduction to Financial Services (A)	
11.	131U04C204 – Introduction to Financial Services (B)	
12.	131U04C204 – Introduction to Financial Services (C)	
13.		
14.		
15.		



LIBRARY



Question No.		Max Marks	Co Attainment																									
Q.1.	A. The Balance sheet of ICC Ltd as at 31 st Dec.2021 inter alia includes the following:	15	CO 1																									
	<table><tr><th>Particulars</th><th>Rs.</th></tr><tr><td>2,50,000 6% Preference shares of Rs. 100 each Rs.70 paid-up</td><td>1,75,00,000</td></tr><tr><td>5,00,000 Equity shares of Rs. 100 each fully paid-up</td><td>5,00,00,000</td></tr><tr><td>Securities Premium</td><td>25,00,000</td></tr><tr><td>Capital Redemption Reserve</td><td>1,50,00,000</td></tr><tr><td>General Reserve</td><td>3,00,00,000</td></tr></table>			Particulars	Rs.	2,50,000 6% Preference shares of Rs. 100 each Rs.70 paid-up	1,75,00,000	5,00,000 Equity shares of Rs. 100 each fully paid-up	5,00,00,000	Securities Premium	25,00,000	Capital Redemption Reserve	1,50,00,000	General Reserve	3,00,00,000													
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	General Reserve			3,00,00,000																								
	Under the terms of their issue, the preference shares are redeemable on March 31 st , 2022 at a premium of 5%. In order to finance the redemption, the company made right issue of 1,20,000 equity shares of Rs.100 each at a premium of Rs.10 per share.																											
	The preference shares were redeemed after fulfilling the necessary conditions of the company Act.																											
The company issued one bonus share for every two shares held including new issue.																												
You are asked to pass the necessary journal entries in books of company giving effect to the above adjustments.																												
OR																												
B. What is the developments in India about IFRS? (07 Marks)																												
C. What are the challenges in first time adoption of Ind ASs? (08 Marks)																												
Q.2.	A. The Balance sheet of Active Ltd. as on 31 st March 2023 is as follows:	15	CO 2																									
	<p style="text-align: center;">Balance sheet As on 31st March 2023</p> <table><tr><th>Liabilities</th><th>Rs.</th><th>Assets</th><th>Rs.</th></tr><tr><td>40,000 Equity shares of Rs.10 Each fully paid.</td><td>4,00,000</td><td>Business Premises</td><td>6,00,000</td></tr><tr><td>2,000 Preference Shares of Rs.100 each</td><td>2,00,000</td><td>Bank</td><td>2,20,000</td></tr><tr><td>Profit and Loss a/c</td><td>40,000</td><td></td><td></td></tr><tr><td>9% Debentures</td><td>80,000</td><td></td><td></td></tr><tr><td>Sundry creditors</td><td>1,00,000</td><td></td><td></td></tr><tr><td></td><td>8,20,000</td><td></td><td>8,20,000</td></tr></table>			Liabilities	Rs.	Assets	Rs.	40,000 Equity shares of Rs.10 Each fully paid.	4,00,000	Business Premises	6,00,000	2,000 Preference Shares of Rs.100 each	2,00,000	Bank	2,20,000	Profit and Loss a/c	40,000			9% Debentures	80,000			Sundry creditors	1,00,000			
Liabilities	Rs.	Assets	Rs.																									
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Sundry creditors	1,00,000																											
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The company wants to buy back 20% of its Equity shares at 10 % premium. Not having sufficient profits to buy back, the company issued 600, Preference shares of Rs.100 each at 10 % premium payable as Rs.20 on application and balance on allotment. These shares were duly taken up and the company purchased equity shares immediately, sufficient profits were used to																												

supplement the new issue.

Pass journal entries and prepare bank account .

(15 Marks)

OR

B. The Balance sheet of Tata Ltd. as on 31st March 2023 was as follows:

Balance sheet
As on 31st March 2023

Liabilities	Rs.	Assets	Rs.
Equity share Capital of Rs.10 Each fully paid.	15,00,000	Premises	45,00,000
Preference Shares	5,00,000	Investments	5,00,000
Security Premium	7,50,000	Bank	52,50,000
General Reserve	5,00,000		
Profit and Loss a/c	5,00,000		
11% Debentures	15,00,000		
Bank Term loan	25,00,000		
Sundry creditors	25,00,000		
	1,02,50,000		1,02,50,000

The company want to Buyback Equity shares at Rs30 each.

Keeping in view all the legal requirements, determine the maximum possible quantity of shares to be bought back.

Also pass necessary journal entries for buyback assuming that all of the formalities are completed by the company. (15 Marks)

Q.3.

A. A Finance company Ltd hold as on 1st April 2021 Rs. 1,20,000 (Cost Rs.1,17,600) 6% Gold Bond as investment on which interest is payable half yearly on 1st January and 1st July.

The following Transactions took place during the accounting year ended 31st March 2023

Purchases :-

On 1 st August 2021	Face value Rs.24,000 at Rs.102 cum interest
On 1 st December 2021	Face value Rs.48,000 at Rs.105 Ex- interest.
Sales: -	
On 1 st November 2021	Face value Rs. 12,000 at Rs. 104 Cum -interest
On 1 st February 2022	Face value Rs.36,000 at Rs102 Ex-interest .

Market price of bond is Rs.103 per bond as on 31st March 2023.

Write up Investment account as on 31st March 2023, assume FV of bond Rs.100. (Apply AS-13) (15 Marks)

OR

B. On 1st April 2014 Aditya Ltd had Rs. 50,000 Equity shares in T Ltd. The face value of the shares was Rs.10 each but the book value was Rs. 24 per share.

On 1st June 2014 Aditya purchased 10,000 Equity shares in T Ltd at a premium of Rs. 6 per share.

On 1st July 2014, the directors of T ltd issued bonus shares at the rate of one share for every three shares held.

On 1st Jan 2015 Aditya purchased 5,000 right shares in T Ltd of Rs.10 each at Rs.15 per share.

On 31st January 2015 he sold 20,000 Equity shares in T Ltd of Rs. 10 each at Rs.30 per share.

On 1st March 2015 Aditya purchased 8,000 Equity shares in T Ltd at Rs.36 per share.

Show investment account as it would appear in Adityas books for

CO 2

15

CO 3

CO 3



Semester - April 2023		
Examination: End Semester Examination April 2023 (UG Programmes)		
Programme code: 04 Programme: B.com -FINANCIAL MARKET	Class: FY	Semester: II
Name of the Constituent College: S K SOMAIYA COLLEGE	Name of the Department : COMMERCE AND BUSINESS STUDIES	
Course Code: 131U04C201	Name of the Course: FINANCIAL ACCOUNTING -II	
Duration : 2 Hrs.	Maximum Marks : 60	
Instructions: 1.Only simple calculator is allowed.2. Question no. 4 is compulsory.		

Question No.		Max Marks	Co Attainment																																
Q.1.	<p>A. The Balance sheet of a company on 30th June 2021 is as follows:</p> <table><tr><th>Liabilities</th><th>Rs.</th><th>Assets</th><th>Rs.</th></tr><tr><td>Equity shares of Rs.10 each fully paid</td><td>40,00,000</td><td>Plant</td><td>25,00,000</td></tr><tr><td>Preference shares of Rs.20 each fully paid</td><td>12,00,000</td><td>Furniture</td><td>9,00,000</td></tr><tr><td>Security Premium a/c</td><td>20,000</td><td>Investment</td><td>3,50,000</td></tr><tr><td>Profit and loss a/c</td><td>7,00,000</td><td>Stock</td><td>15,00,000</td></tr><tr><td>Creditors</td><td>10,80,000</td><td>Debtors</td><td>14,00,000</td></tr><tr><td></td><td></td><td>Bank a/c</td><td>3,50,000</td></tr><tr><td></td><td></td><td></td><td>70,00,000</td></tr></table> <p>Following additional information is available to you: 1. Preference shares are redeemed on 1st July 2021 at a premium of Rs. 10% 2. Debentures of Rs.1,00,000 were issued. 3. Investments are sold for Rs.3,00,000. 4. 80,000 Equity shares of Rs.10 each are issued at 10% premium. Pass journal entries and prepare Bank a/c in the books of Company to record above transaction. (15 Marks)</p> <p style="text-align: center;">OR</p> <p>B. What is IFRS? Explain its benefits. (15 Marks)</p>	Liabilities	Rs.	Assets	Rs.	Equity shares of Rs.10 each fully paid	40,00,000	Plant	25,00,000	Preference shares of Rs.20 each fully paid	12,00,000	Furniture	9,00,000	Security Premium a/c	20,000	Investment	3,50,000	Profit and loss a/c	7,00,000	Stock	15,00,000	Creditors	10,80,000	Debtors	14,00,000			Bank a/c	3,50,000				70,00,000	15	CO 1
	Liabilities	Rs.	Assets	Rs.																															
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Q.2.	<p>A. The Balance sheet of Active Ltd. as on 31st March 2023 is as follows:</p> <p style="text-align: center;">Balance sheet As on 31st March 2023</p> <table><tr><th>Liabilities</th><th>Rs.</th><th>Assets</th><th>Rs.</th></tr><tr><td>40,000 Equity shares of Rs.10 Each fully paid.</td><td>4,00,000</td><td>Business Premises</td><td>6,00,000</td></tr><tr><td>2,000 Preference Shares of Rs.100 each</td><td>2,00,000</td><td>Bank</td><td>2,20,000</td></tr><tr><td>Profit and Loss a/c</td><td>40,000</td><td></td><td></td></tr><tr><td>9% Debentures</td><td>80,000</td><td></td><td></td></tr><tr><td>Sundry creditors</td><td>1,00,000</td><td></td><td></td></tr><tr><td></td><td>8,20,000</td><td></td><td>8,20,000</td></tr></table> <p>The company wants to buy back 20% of its Equity shares at 10 % premium. Not having sufficient profits to buy back, the company issued 600, Preference shares of Rs.100 each at 10 % premium payable as Rs.20 on application and balance on allotment. These shares were duly taken up and the company purchased equity shares immediately, sufficient profits were used to supplement the new issue. Pass journal entries and prepare bank account. (15 Marks)</p> <p style="text-align: center;">OR</p>	Liabilities	Rs.	Assets	Rs.	40,000 Equity shares of Rs.10 Each fully paid.	4,00,000	Business Premises	6,00,000	2,000 Preference Shares of Rs.100 each	2,00,000	Bank	2,20,000	Profit and Loss a/c	40,000			9% Debentures	80,000			Sundry creditors	1,00,000				8,20,000		8,20,000	15	CO 2				
Liabilities	Rs.	Assets	Rs.																																
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Sundry creditors	1,00,000																																		
	8,20,000		8,20,000																																

	<p>B. The Balance sheet of Tata Ltd. as on 31st March 2023 was as follows:</p> <p style="text-align: center;">Balance sheet As on 31st March 2023</p> <table border="1"> <thead> <tr> <th>Liabilities</th><th>Rs.</th><th>Assets</th><th>Rs.</th></tr> </thead> <tbody> <tr> <td>Equity share</td><td></td><td>Business Premises</td><td>45,00,000</td></tr> <tr> <td>Capital of Rs.10</td><td></td><td>Investments</td><td>5,00,000</td></tr> <tr> <td>Each fully paid.</td><td>15,00,000</td><td>Bank</td><td>52,50,000</td></tr> <tr> <td>Preference Shares</td><td>5,00,000</td><td></td><td></td></tr> <tr> <td>Security Premium</td><td>7,50,000</td><td></td><td></td></tr> <tr> <td>General Reserve</td><td>5,00,000</td><td></td><td></td></tr> <tr> <td>Profit and Loss a/c</td><td>5,00,000</td><td></td><td></td></tr> <tr> <td>11% Debentures</td><td>15,00,000</td><td></td><td></td></tr> <tr> <td>Bank Term loan</td><td>25,00,000</td><td></td><td></td></tr> <tr> <td>Sundry creditors</td><td>25,00,000</td><td></td><td></td></tr> <tr> <td></td><td>1,02,50,000</td><td></td><td>1,02,50,000</td></tr> </tbody> </table> <p>The company want to Buyback Equity shares at Rs30 each. Keeping in the view all the legal requirements, determine the maximum possible quantity of shares to be bought back.</p> <p>Also pass necessary journal entries for buyback assuming that all of the formalities are completed by the company. (15 Marks)</p>	Liabilities	Rs.	Assets	Rs.	Equity share		Business Premises	45,00,000	Capital of Rs.10		Investments	5,00,000	Each fully paid.	15,00,000	Bank	52,50,000	Preference Shares	5,00,000			Security Premium	7,50,000			General Reserve	5,00,000			Profit and Loss a/c	5,00,000			11% Debentures	15,00,000			Bank Term loan	25,00,000			Sundry creditors	25,00,000				1,02,50,000		1,02,50,000		CO 2
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Sundry creditors	25,00,000																																																		
	1,02,50,000		1,02,50,000																																																
Q.3.	<p>A. Mr. Narendra holds 2,000 Equity shares of Waa-ree Ltd of Rs. 100 each on 1st April 2014 at a cost of Rs. 2,05,000. He purchased 3,000 shares of Waaree Ltd at Rs.95 and paid brokerage @2% and stamp duty Rs.3,500 on 1st May 2014. Mr. Narendra purchases another 4,000 shares of Waaree Ltd at Rs. 96 and paid brokerage @2% and stamp duty of Rs.5,000 on 25th December 2014. On 1st January the company issues 1 fully paid bonus share for every 2 shares held. Mr. Narendra sold 5,000 shares for Rs.110 and paid brokerage @1.5% on 28th February 2015 Show investment account as it would appear in Narendra's books for the year ended 31st March 2015. (Apply AS-13) (15 Marks)</p> <p style="text-align: center;">OR</p> <p>B. On 1st April 2014, Mr. Vijay had 40,000 Equity shares of Rs.10 each of Spectrum Ltd purchased at a cost of Rs. 15 per share. On 1st May 2014 he purchased 20,000 Equity shares of Spectrum Ltd at Rs.12 each. On 1st June the directors of Spectrum Ltd issued bonus shares at the rate of one share for three shares held. Board of directors of Spectrum ltd announces rights issue of Equity shares in the ratio of one share for every 4 shares held at Rs.20 each, full amount was payable by 31st August 2014. Shareholders were allowed to renounce their rights either in part or full to the outsiders. 40% of his shares renounced at Rs.5 per share and subscribed for the balance. On 1st December Vijay sold 5,000 Equity shares of spectrum ltd @30 each. You are required to prepare Investment in Equity shares of Spectrum Ltd a/c. (Apply AS-13) (15 Marks)</p>	15	CO 3																																																
Q.4.	<p>Attempt the following. (05 Marks each)</p> <p>A. Mr Deepak purchased 1,000 Shares of Urja Ltd at Rs.75 each on 1st July 2022. Transfer charges @5% paid by him. On 1st December, 2022 Urja Ltd issued bonus shares in the ratio of 2 shares for 5 held. On 20th December 2022, 400 Shares of Urja Ltd were sold @50 per share. The financial year of the company ends on 31st December 2022. Prepare Investment account in the books of Mr. Deepak. (Apply AS-13)</p> <p>B. Discuss benefits of Buyback of Equity shares.</p> <p>C. Throw light on Capital Reserves with examples.</p>	15	CO 3 CO 2 CO 1																																																



SOMAIYA
VIDYAVIHAR UNIVERSITY



Semester (January 2023 to April 2023)		
Examination: End Semester Examination March/April 2023 (UG/PG Programmes)		
Programme code: 02/03/04 Programme: BAF/BAF(HONS)/BFM	Class: FY	Semester: II
Name of the Constituent College: S K Somaiya College	Name of the Department: Accounting & Finance	
Course Code:131U02N201/131U03N201/131U04N201	Name of the Course: Environmental Science	
Duration: 2 Hrs.	Maximum Marks: 60	
Instructions: 1) Draw neat diagrams		

Question No.		Max. Marks	Co Attainment
Q.1	(A) Define environment and explain its structure in detail.	8	CO1
	(B) Explain the levels of biodiversity.	7	CO1
	OR		
	(C) Explain in detail green marketing with examples.	8	CO4
Q.2	(D) State the pros and cons of eco-friendly packing.	7	CO4
	(A) Explain Carbon bank & Kyoto protocol.	8	CO3
	(B) Suggest ways to conserve forests.	7	CO2
	OR		
Q.3	(C) Identify the advantages and disadvantages of wind energy.	8	CO4
	(D) Difference between renewable and non-renewable resources.	7	CO2
	(A) Discuss the environmental problems associated with primary sector.	15	CO3
	OR		
Q.4	(B) Write in detail about various measures of water conservation.	8	CO2
	(C) Explain the energy flow with the help of biomass pyramid.	7	CO1
	CASE STUDY: (3marks each)	15	
Q.4	The greenhouse effect is a naturally occurring phenomenon that is responsible for heating earth's surface and atmosphere. Earth's surface emits heat in the form of infrared radiation, but most of it is absorbed by certain gases, called greenhouse gases, leading to global warming.		
	Question:		
	Based on the above case study comment on the following		
	1. Contribution of GHG to Global Warming.		
Q.4	2. Effect of Greenhouse on the Earth's Climate.		
	3. The potential impacts of global warming caused by the greenhouse effect.		

	<p>4. Reduction of Green House Effect.</p> <p>5. Describe your concerns on global warming.</p>		
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Semester (November 2022 to March 2023)		
Examination: End Semester Examination March/April 2023 (UG Programmes)		
Programme code: 04	Class: FY	Semester: II
Programme: BFM		
Name of the Constituent College: S K Somaiya College (SKSC)	Name of the Department Financial Market	
Course Code: 131U04K201	Name of the Course: Computer Skill	
Duration : 2 Hrs.	Maximum Marks: 60	
Instructions: 1)Draw neat diagrams 2)Assume suitable data if necessary		

Q.NO		Max Marks	CO Attainment
Q.1	<p>A. Explain the term E commerce and explain all types of E commerce with suitable examples. 15 Marks</p> <p style="text-align: center;">OR</p> <p>B. Operating system is which category of software? Explain different types of operating system? 07 Marks</p> <p>C. Write in brief about types of cloud computing based on deployment methods (Public, Private...etc.) 08 Marks</p>	15	CO1 CO2
Q.2	<p>A. Discuss the evolution of mobile network from 1G to 5G including the features and drawbacks of each generation in detail. 15 Marks</p> <p style="text-align: center;">OR</p> <p>B. What is wireless network technology? Discuss Pros and Cons of wireless networks. 07 Marks</p> <p>C. Name and explain functions of layers of OSI network model. 08 Marks</p>	15	CO2 CO3
Q.3	<p>A. Compare G-Suit and Office 365 - app by app in detail for the following productivity software 15 Marks</p> <ul style="list-style-type: none"> • Google Sheet V/S Microsoft Excel • Google Drive V/S Microsoft One Drive • Google Meet V/S Microsoft Team <p style="text-align: center;">OR</p> <p>B. What is an Extranet? Write the benefits of Extranet? 07 Marks</p> <p>C. What is PHP? Why do we use PHP? What can we do with PHP? 08 Marks</p>	15	CO4 CO5
Q.4	<p>Read the scenario below and answer the questions based on the same with your understanding of the scenario.</p> <p>Recent statistics show that 85% of the global workforce is actively disengaged at work, causing a global impact of \$7 trillion in lost productivity. It's vital to the success of your organization that you find innovative methods of engaging your workforce to increase productivity. Implementing these productivity tools could have a positive effect on improving employee engagement and achieving your organizational</p>	15	CO4 P.T.O

goals. There are thousands of productivity tools to choose from that will help your office excel. It's essential, however, to select the ones that best fit the needs of your business and employees. 92% of employees agree that when a company optimizes the right tools and technology necessary for their work, it can positively impact their satisfaction and productivity at work.

- A. Discuss the concept of productivity software tool for an organization?
- B. List of different productivity software tools that any company needs to manage the business.
- C. List all components of Google for workspace which forms productivity suite for end users.



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Semester (January 2023 to April 2023)		
Examination: End Semester Examination March/April 2023 (UG/PG Programmes)		
Programme code:04	Class: FY	Semester: II
Programme:B.Com(Financial Markets)		
Name of the Constituent College: S K Somaiya College	Name of the Department : Commerce and Business Studies	
Course Code: 131U04C202	Name of the Course: Management Accounting	
Duration : 2 Hrs.	Maximum Marks : 60	
Instructions: 1)Draw neat diagrams 2)Assume suitable data if necessary 3)		

Question No.		Max. Marks	Co Attainment																																								
Q.1	<p>A. Compare Management accounting with Financial accounting (8 marks)</p> <p>B. Summarize the scope of management accounting (7 marks)</p> <p style="text-align: center;">OR</p> <p>C. XYZ Ltd. provides you the following Balance Sheets. Rearrange them in vertical format and prepare a common size statement and offer 5 comments based on it. (15 marks)</p> <table><tr><th>Liabilities</th><th>Amount</th><th>Assets</th><th>Amount</th></tr><tr><td>12% Pref. share capital</td><td>1,20,000</td><td>Goodwill</td><td>30,500</td></tr><tr><td>Equity share capital</td><td>1,30,000</td><td>Land and Building</td><td>85,000</td></tr><tr><td>Capital Reserve</td><td>24,000</td><td>Plant and machinery</td><td>120,000</td></tr><tr><td>Dividend Equalisation Reserves</td><td>40,000</td><td>Investment</td><td>60,000</td></tr><tr><td>10% Debentures</td><td>50,000</td><td>Bills Receivables</td><td>75,800</td></tr><tr><td>Creditors</td><td>30,000</td><td>Debtors</td><td>50,000</td></tr><tr><td>Bills payables</td><td>60,500</td><td>Stock</td><td>30,000</td></tr><tr><td>Tax Provision</td><td>23,000</td><td>Cash</td><td>26,200</td></tr><tr><td>Total</td><td>4,77,500</td><td>Total</td><td>4,77,500</td></tr></table>	Liabilities	Amount	Assets	Amount	12% Pref. share capital	1,20,000	Goodwill	30,500	Equity share capital	1,30,000	Land and Building	85,000	Capital Reserve	24,000	Plant and machinery	120,000	Dividend Equalisation Reserves	40,000	Investment	60,000	10% Debentures	50,000	Bills Receivables	75,800	Creditors	30,000	Debtors	50,000	Bills payables	60,500	Stock	30,000	Tax Provision	23,000	Cash	26,200	Total	4,77,500	Total	4,77,500	15	CO1 and CO2
Liabilities	Amount	Assets	Amount																																								
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Creditors	30,000	Debtors	50,000																																								
Bills payables	60,500	Stock	30,000																																								
Tax Provision	23,000	Cash	26,200																																								
Total	4,77,500	Total	4,77,500																																								

Q.2

A. Rearrange following Balance Sheets in vertical form and calculate trend percentage taking 2019 as a base year and write any 5 comments based on it. (15 marks)

15

CO2
and
CO3

Liabilities	2019	2020	2021	Assets	2019	2020	2021
Equity share capital	200	220	240	Land	80	80	70
15% preference share capital	40	20	10	Plant and machinery	280	250	220
Reserves	150	180	240	Furniture	50	60	50
10 % Debentures	120	100	50	Current Assets	200	230	320
Current liabilities	100	100	120				
Total	610	620	660	Total	610	620	660

OR

B. Present the below in vertical form and calculate the following ratios: (15 marks)

1. Liquid ratio, 2. Capital gearing ratio, 3. Stock to working capital.
4. Proprietary ratio

Balance Sheet as on 31st March

Liabilities	2021	2022	Assets	2021	2022
Equity share capital	1,00,000	1,00,000	Machinery	82,000	80,000
10% preference share capital	30,000	40,000	Land	1,00,000	1,00,000
Profit and Loss	38,000	40,000	Stock	62,000	1,00,000
Mortgage Loan	80,000	1,00,000	Debtors	80,000	60,000
Crediors	42,000	60,000	Bills receivables	20,000	20,000
Bills payable	18,000	10,000	Cash	2,000	30,000



	<table><tr><td>Taxes payable</td><td>38,000</td><td>50,000</td><td>Goodwill</td><td>-</td><td>10,000</td></tr><tr><td>Total</td><td>3,46,000</td><td>4,00,000</td><td>Total</td><td>3,46,000</td><td>4,00,000</td></tr></table>	Taxes payable	38,000	50,000	Goodwill	-	10,000	Total	3,46,000	4,00,000	Total	3,46,000	4,00,000																												
Taxes payable	38,000	50,000	Goodwill	-	10,000																																				
Total	3,46,000	4,00,000	Total	3,46,000	4,00,000																																				
Q.3	<p>A. From the following information prepare a Working Capital Requirement statement for ABC Ltd. producing 3,12,000 units per annum (52 weeks) (15 marks)</p> <table><tr><th>Particulars</th><th>Per unit (Rs)</th></tr><tr><td>Raw material</td><td>90</td></tr><tr><td>Labour</td><td>40</td></tr><tr><td>Overheads</td><td>80</td></tr><tr><td>Total Cost</td><td>210</td></tr><tr><td>Profit</td><td>40</td></tr><tr><td>Selling price</td><td>250</td></tr></table> <p>Other information:</p> <ol style="list-style-type: none">Raw materials are held in stock for a period of 4 weeks.Materials remain in process for 2 weeks. and 50% for wages and overheads.Finished goods remain in stock for a period of 4 weeks.Credit allowed to customers is 8 weeks but 20% of the invoice is collected immediately.Time lag in payment of wages is 1.5 weeks and in overheads is 4 weeks.Credit available from suppliers is 4 weeks but 20% of the creditors are paid weeks in advance.Bank balance is to be maintained at Rs. 60,000. <p style="text-align: center;">OR</p> <p>B. From the following Balance sheets of Vinayak Ltd. prepare Cash flow statement with necessary working notes. (15 marks)</p> <table><tr><th>Liabilities</th><th>2022</th><th>2023</th><th>Assets</th><th>2022</th><th>2023</th></tr><tr><td>Share capital</td><td>8,00,000</td><td>7,00,000</td><td>Fixed assets</td><td>7,50,000</td><td>5,00,000</td></tr><tr><td>General Reserve</td><td>2,25,000</td><td>1,75,000</td><td>Cash</td><td>1,80,000</td><td>1,50,000</td></tr><tr><td>Profit and Loss</td><td>2,50,000</td><td>1,50,000</td><td>Debtors</td><td>3,75,000</td><td>2,25,000</td></tr></table>	Particulars	Per unit (Rs)	Raw material	90	Labour	40	Overheads	80	Total Cost	210	Profit	40	Selling price	250	Liabilities	2022	2023	Assets	2022	2023	Share capital	8,00,000	7,00,000	Fixed assets	7,50,000	5,00,000	General Reserve	2,25,000	1,75,000	Cash	1,80,000	1,50,000	Profit and Loss	2,50,000	1,50,000	Debtors	3,75,000	2,25,000	15	CO4 and CO5
Particulars	Per unit (Rs)																																								
Raw material	90																																								
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General Reserve	2,25,000	1,75,000	Cash	1,80,000	1,50,000																																				
Profit and Loss	2,50,000	1,50,000	Debtors	3,75,000	2,25,000																																				

	10% debentures	2,00,000	Nil	Stock	2,60,000	1,60,000			
	Proposed Dividend	90,000	80,000	Underwriting Commission	Nil	70,000			
	Total	15,65,000	11,05,000	Total	15,65,000	11,05,000			
	Additional Information: 1. During the year interim dividend paid Rs. 30,000. 2. Depreciation for the year on fixed assets is Rs. 50,000. 3. Underwriting commission was written off during the year. 4. Fixed assets purchased during the year worth Rs. 1,00,000.								
Q.4	Explain the Concepts (3 marks each) a. Limitations of Management accounting b. Vertical format of Income statement c. Profitability ratios d. Importance of working capital management e. Cash flow from Investment activities							15	CO1, CO2, CO3, CO4, CO5



SOMAIYA
VIDYAVIHAR UNIVERSITY



Semester (January 2023 to April 2023)		
Examination: End Semester Examination March/April 2023 (UG/PG Programmes)		
Programme code:04 Programme:B.Com(Financial Markets)	Class: FY	Semester: II
Name of the Constituent College: S K Somaiya College	Name of the Department: Commerce and Business Studies	
Course Code: 131U04C202	Name of the Course: Management Accounting	
Duration : 2 Hrs.	Maximum Marks : 60	
Instructions: 1)Draw neat diagrams 2)Assume suitable data if necessary 3)		

Question No.		Max . Marks	Co Attainment																																																
Q.1	<p>A. List out various functions of management accounting. (8 marks)</p> <p>B. Explain the importance of management accounting. (7 marks)</p> <p style="text-align: center;">OR</p> <p>C. The balance sheet of XYZ Ltd. is given for the year 2023. Convert it into a vertical balance sheet. (15 marks)</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Liabilities</th><th>Amount</th><th>Assets</th><th>Amount</th></tr> </thead> <tbody> <tr> <td>Equity Shares</td><td>1,91,000</td><td>Building</td><td>2,00,000</td></tr> <tr> <td>Capital Reserve</td><td>70,000</td><td>Plant and Machinery</td><td>55,000</td></tr> <tr> <td>Revenue Reserve and Surplus</td><td>30,000</td><td>Furniture</td><td>20,000</td></tr> <tr> <td>Trade Creditors</td><td>40,000</td><td>Freehold Property</td><td>12,000</td></tr> <tr> <td>Bills payable</td><td>60,000</td><td>Goodwill</td><td>30,000</td></tr> <tr> <td>Bank Overdraft</td><td>80,000</td><td>Cash Balance</td><td>20,000</td></tr> <tr> <td>Provisions</td><td>20,000</td><td>Sundry Debtors</td><td>35,000</td></tr> <tr> <td></td><td></td><td>Inventories</td><td>57,000</td></tr> <tr> <td></td><td></td><td>Investment</td><td>42,000</td></tr> <tr> <td></td><td></td><td>Bills Receivable</td><td>20,000</td></tr> <tr> <td>Total</td><td>4,91,000</td><td>Total</td><td>4,91,000</td></tr> </tbody> </table>	Liabilities	Amount	Assets	Amount	Equity Shares	1,91,000	Building	2,00,000	Capital Reserve	70,000	Plant and Machinery	55,000	Revenue Reserve and Surplus	30,000	Furniture	20,000	Trade Creditors	40,000	Freehold Property	12,000	Bills payable	60,000	Goodwill	30,000	Bank Overdraft	80,000	Cash Balance	20,000	Provisions	20,000	Sundry Debtors	35,000			Inventories	57,000			Investment	42,000			Bills Receivable	20,000	Total	4,91,000	Total	4,91,000	15	CO1 and CO2
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Q.2	<p>A. Calculate Trend percentage from the following information extracted from the financial statements of PQR Ltd. and give 5 Comments (15 marks)</p> <table><tr><td></td><td>2018 (Amount)</td><td>2019 (Amount)</td><td>2020 (Amount)</td><td>2021 (Amount)</td></tr><tr><td>Sales</td><td>9,880</td><td>13,640</td><td>16,400</td><td>18,040</td></tr><tr><td>Cost of Sales</td><td>8,818</td><td>12,490</td><td>14,970</td><td>16,040</td></tr><tr><td>Expenses</td><td>50</td><td>130</td><td>80</td><td>100</td></tr><tr><td>Interest</td><td>200</td><td>370</td><td>500</td><td>540</td></tr><tr><td>Tax</td><td>450</td><td>190</td><td>390</td><td>450</td></tr><tr><td>Profit</td><td>362</td><td>460</td><td>460</td><td>910</td></tr></table> <p style="text-align: center;">OR</p> <p>B. From the given balance sheet of ABC Ltd. calculate following ratios. (15 marks)</p> <ol style="list-style-type: none">1. Current Ratio2. Quick Ratio3. Debt Equity Ratio4. Capital Gearing Ratio5. Proprietary Ratio. <table><tr><td>Liabilities</td><td>Amount</td><td>Asset</td><td>Amount</td></tr><tr><td>Equity Capital</td><td>1,00,000</td><td>Fixed Assets</td><td>1,80,000</td></tr><tr><td>9% Preference Share</td><td>50,000</td><td>Stock</td><td>25,000</td></tr><tr><td>8% Debentures</td><td>50,000</td><td>Debtors</td><td>55,000</td></tr><tr><td>Capital Reserve</td><td>20,000</td><td>Bills Receivable</td><td>3,000</td></tr><tr><td>Creditors</td><td>45,000</td><td>Bank</td><td>2,000</td></tr><tr><td>Total</td><td>2,65,000</td><td>Total</td><td>2,65,000</td></tr></table>		2018 (Amount)	2019 (Amount)	2020 (Amount)	2021 (Amount)	Sales	9,880	13,640	16,400	18,040	Cost of Sales	8,818	12,490	14,970	16,040	Expenses	50	130	80	100	Interest	200	370	500	540	Tax	450	190	390	450	Profit	362	460	460	910	Liabilities	Amount	Asset	Amount	Equity Capital	1,00,000	Fixed Assets	1,80,000	9% Preference Share	50,000	Stock	25,000	8% Debentures	50,000	Debtors	55,000	Capital Reserve	20,000	Bills Receivable	3,000	Creditors	45,000	Bank	2,000	Total	2,65,000	Total	2,65,000	15	CO2 and CO3
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Q.3	<p>A. Ram Ltd. furnished the following information and requested you to prepare a statement of working capital requirement. The production capacity is 96,000 units per annum. (15 marks)</p> <table><tr><td>Particulars</td><td>Per unit (Rs.)</td></tr><tr><td>Raw materials</td><td>08</td></tr><tr><td>Labour</td><td>03</td></tr><tr><td>Overheads</td><td>06</td></tr></table>	Particulars	Per unit (Rs.)	Raw materials	08	Labour	03	Overheads	06	15	CO4 and CO5																																																							
Particulars	Per unit (Rs.)																																																																	
Raw materials	08																																																																	
Labour	03																																																																	
Overheads	06																																																																	



Total cost	17
+ Profit	03
Sales	20

The following are the further information:-

1. Raw materials remain in stores for an average period of 3 months.
2. Finished goods remain in stock for an average period of 3 months.
3. Materials remain in process for an average of 2 months.
4. Credit allowed by suppliers is 2 months.
5. Credit allowed to debtors is 6 months.
6. Lag in payment of wages is 2 months.
7. Lag in payment of overheads is 3 months.
8. Cash on hand and at Bank is expected to be Rs.50,000.


OR

B. Balance sheets of a company as on 1st April and 31st March 2023 were as follows: (15 marks)

Liabilities	2022	2023	Assets	2022	2023
Capital	1,50,000	1,90,000	Cash	20,000	26,000
Loan from Mr.X	30,000	-	Debtors	54,000	76,000
Loan from Bank	60,000	80,000	Stock	48,000	42,000
Creditors	50,000	56,000	Furniture	2,000	2,000
			Machinery	90,000	65,000
			Land	36,000	45,000
			Buildings	40,000	70,000
Total	2,90,000	3,26,000	Total	2,90,000	3,26,000

1. During the year a machine costing Rs. 12,000 (accumulated depreciation Rs. 4,000) was sold for Rs. 5,000.
2. The provision for depreciation against machinery as on 1st April was 24,000 and 31st March 2023. Rs. 37,000.
3. Net Profit for 2023 amounted to Rs. 60,000.
You are required to prepare a Cash Flow Statement.

Q.4	Explain the Concepts (3 marks each) a. Management Accounting	15	CO1, CO2,
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	<ul style="list-style-type: none">b. Vertical format of Balance sheetc. Liquidity Ratiosd. Types of Working Capitale. Cash flow from operating activities		CO3, CO4, CO5
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SOMAIYA
VIDYAVIHAR UNIVERSITY



Semester (January 2023 to April 2023)			
Examination: End Semester Examination March/April 2023 (UG/PG Programmes)			
Programme code:04		Class: FY	Semester: II
Programme:B.Com(Financial Markets)			
Name of the Constituent College: S K Somaiya College		Name of the Department : Commerce and Business Studies	
Course Code: 131U04C202		Name of the Course: Management Accounting	
Duration : 2 Hrs.		Maximum Marks : 60	
Instructions: 1)Draw neat diagrams 2)Assume suitable data if necessary 3)			

Question No.		Max. Marks	Co Attainment																																												
Q.1	<p>A. Define Management Accounting and describe in detail various management accounting tools (15 marks)</p> <p style="text-align: center;">OR</p> <p>B. From the following statement of Abhaya Ltd. prepare a common size statement and give 5 comments based on the analysis. (15 marks)</p> <p style="text-align: center;">Profit and Loss Account for the year ended 31st March 2023.</p> <table border="1"> <thead> <tr> <th>Particulars</th><th>Amount</th><th>Particulars</th><th>Amount</th></tr> </thead> <tbody> <tr> <td>To Opening stock</td><td>80,000</td><td>By Sales</td><td>4,00,000</td></tr> <tr> <td>To Purchases</td><td>2,40,000</td><td>By Closing Stock</td><td>1,20,000</td></tr> <tr> <td>To wages</td><td>50,000</td><td></td><td></td></tr> <tr> <td>To Factory Overheads</td><td>50,000</td><td></td><td></td></tr> <tr> <td>To Gross profit c/d</td><td>1,00,000</td><td></td><td></td></tr> <tr> <td></td><td>5,20,000</td><td></td><td>5,20,000</td></tr> <tr> <td>To administrative expenses</td><td>15,000</td><td>By Gross Profit c/d</td><td>1,00,000</td></tr> <tr> <td>To Selling Expenses</td><td>10,000</td><td>By Dividend received</td><td>6,000</td></tr> <tr> <td>To Depreciation</td><td>13,000</td><td></td><td></td></tr> <tr> <td>To interest on</td><td>4,000</td><td></td><td></td></tr> </tbody> </table>	Particulars	Amount	Particulars	Amount	To Opening stock	80,000	By Sales	4,00,000	To Purchases	2,40,000	By Closing Stock	1,20,000	To wages	50,000			To Factory Overheads	50,000			To Gross profit c/d	1,00,000				5,20,000		5,20,000	To administrative expenses	15,000	By Gross Profit c/d	1,00,000	To Selling Expenses	10,000	By Dividend received	6,000	To Depreciation	13,000			To interest on	4,000			15	CO1 and CO2
Particulars	Amount	Particulars	Amount																																												
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To Depreciation	13,000																																														
To interest on	4,000																																														

debentures			
To Net Profit c/d	64,000		
	1,06,000		1,06,000

Q.2

A. From the following Balance Sheets prepare a Comparative statement and offer 5 comments based on the same. (15 marks)

15

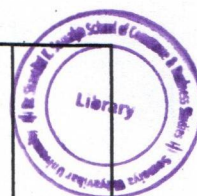
CO2
and
CO3

Liabilities	2022	2023	Assets	2022	2023
Equity Share capital	4,00,000	4,00,000	Land	2,00,000	2,40,000
12% preference share capital	3,00,000	3,00,000	Plant and Machinery	6,00,000	5,40,000
General Reserve	2,00,000	2,45,000	Stock	2,00,000	3,00,000
Tax Payable	1,00,000	1,50,000	Debtors	2,00,000	3,00,000
Creditors	2,00,000	2,75,000	Cash	1,00,000	1,40,000
17% Debentures	1,00,000	1,50,000			
Total Rs.	13,00,000	15,20,000	Total	13,00,000	15,20,000

OR

B. Extracts from financial accounts of ABC Ltd. are given below:
Calculate 1. Current ratio, 2. Quick ratio, 3. Debtors Collection period,
4. Stock to working capital ratio. (15 marks)

	2022		2023	
	Assets	Liabilities	Assets	Liabilities
Stock	10,000	-	20,000	-
Debtors	30,000	-	30,000	-
Payment in advance	2,000	-	-	-
Cash in hand	2,000	-	15,000	-
Sundry	-	25,000	-	30,000



Creditors				
Acceptance s	-	15,000	-	12,000
bank Overdraft	-	--	-	5,000
Total	62,000	40,000	65,000	47,000

Sales amounted to Rs. 3,50,000 in the 2022 and Rs. 3,00,000 in the 2023. Comment in which year the company's position is better and why.

Q.3

- A. A factory produces 96,000 units during the year and sells them @ Rs. 50 per unit. The Cost sheet is as follows: (15 marks)

	Rs.
Raw material	30
Labour	7.5
Overheads	5
Total Cost	42.5
Profit	7.5
Selling price	50

The following additional information is available:

1. The activities of purchasing, producing and selling occur evenly throughout the year.
2. Raw material equivalent to 1 month's supply is stored in godown.
3. The production process takes 1 month.
4. Finished goods equal to three month's production are carried in stock.
5. Debtors get 2 month's credit.
6. Creditors allow 1 ½ month's credit.
7. Time lag in payment of wages and overheads is ½ month.
8. Cash in hand will be maintained to 2,16,000.

Prepare a Working Capital Requirement statement for the company.

OR

- B. From the following Balance sheets of Abay Ltd. prepare Cash flow statement with necessary working notes. (15 marks)

Liabiliti es	2022	2023	Assets	2022	2023
Share capital	1,50,000	2,50,000	Goodwill	55,000	45,000

	General Reserve	-	30,000	Land and Building	80,000	90,000	
	Profit and Loss		29,000	Plant and machinery	40,000	1,00,000	
	Debentures	1,00,000	-	Stock	42,000	53,000	
	Sundry Creditors	57,000	46,000	Debtors	90,000	98,000	
	Bills payable	30,000	6,000	Bills receivables	8,000	12,000	
	Provision for tax	-	25,000	Prepaid Expenses	6,000	4,000	
	Proposed Dividend	-	20,000	Cash in hand	10,000	4,000	
				Profit and Loss	6,000	-	
	Total	3,37,000	4,06,000	Total	3,37,000	4,06,000	
Additional Information: 1. During the year 2023 depreciation of Rs. 8,000 and Rs. 10,000 have been charged on Land and building and Plant and machinery respectively. 2. An Interim dividend of Rs. 7,500 was paid during the year 2023. 3. During the year 2023 Machinery having a book value of Rs. 8,000 was sold for Rs. 7,000. Prepare Cash Flow Statement for the year ended 31st March 2023 as per Indirect Method.							
Q.4	Explain the Concepts (3 marks each) a. Features of financial accounting b. Common Size v/s Trend statement Analysis c. Efficiency ratios d. Positive and negative working capital e. Cash flow from Financing activities					15	CO1, CO2, CO3, CO4, CO5



SOMAIYA
VIDYAVIHAR UNIVERSITY



Semester (January 2023 to April 2023)			
Examination: End Semester Examination March/April 2023 (UG/PG Programmes)			
Programme code:04		Class: FY	Semester: II
Programme: BFM			
Name of the Constituent College: S K Somaiya		Name of the Department :Accounting and Finance	
Course Code: 131U04C203		Name of the Course: Principles of Management	
Duration : 2 Hrs.		Maximum Marks : 60	
Instructions: Figures to the right indicates the full marks			

Question No.		Max. Marks	Co Attainment
Q.1	(A) Define management. Describe the features of management.	(08)	CO1
	(B) Discuss the benefits of niche marketing.	(07)	CO2
	OR		
	(C) Diagrammatically explain Process of decision making.	(08)	CO1
	(B) Define planning. Elaborate its limitations.	(07)	CO2
Q.2	(A) Discuss the concept of formal organization along with its advantages and disadvantages.	(08)	CO3
	(B) Write a note on Graicunas theory.	(07)	CO3
	OR		
	(C) Describe departmentation along with its the importance.	(08)	CO3
	(D) Centralization v/s Decentralization	(07)	CO3
Q.3	(A) Enumerate the elements of directing.	(08)	CO4
	(B) Discuss the various techniques of controlling.	(07)	CO4
	OR		
	(C) Discuss the concept of Green management along with its advantages.	(08)	CO4
	(D) "The role of the leader is significant in the success of the organisation." Justify the statement.	(07)	CO4
Q.4	Sarah is the CEO of a startup that provides an online tutoring platform. She has a team of 20 employees who work remotely. Sarah is a good leader, very open to accepting suggestions and	(15)	CO2 and CO4

involving all her employees in the process of decision making. Sarah is known for her ability to inspire and motivate her team, and she has been successful in getting her employees to buy into her vision for the company. She is determined to make the company successful and has implemented number of changes aimed at revitalizing the business. But she struggles with planning. She finds it hard to prioritize tasks and often feels overwhelmed by the number of things that need to be done.

Questions:

1. Discuss the importance of planning in an organization?
2. Suggest Sarah different steps to be undertaken for effective planning.
3. Identify the leadership style adopted by Sarah and give your views about her leadership style.



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BFM
Sem II

Semester (January 2023 to April 2023)			
Examination: End Semester Examination March/April 2023 (UG/PG Programmes)			
Programme code:04		Class: FY	Semester: II
Programme: BFM			
Name of the Constituent College: S K Somaiya		Name of the Department :Accounting and Finance	
Course Code:	131U04C203	Name of the Course: Principles of Management	
Duration : 2 Hrs.		Maximum Marks : 60	
Instructions: Figures to the right indicates the full marks.			

Question No.		Max. Marks	Co Attainment
Q.1	(A) Enumerate any eight principles of given by Henri Fayol. (B) Define MBO along with its Advantages. OR (C) Write a note on Contingency approach of management. (D) Discuss the different techniques of decision making used in organisation.	(08) (07) (08) (07)	CO1 CO2 CO1 CO2
Q.2	(A) Elaborate upon various features of organizing. (B) Disadvantages of matrix organization. OR (C) Define authority and responsibility. Relationship between authority and responsibility. (D) Discuss the concept of Informal organization advantages and disadvantages.	(08) (07) (08) (07)	CO3 CO3 CO3 CO3
Q.3	(A) "Co-ordination is an essence of management." Comment. (B) Diagrammatically explain the process of controlling. OR (C) Discuss the arguments against Corporate Social Responsibility. (D) Differentiate between leading and managing.	(08) (07) (08) (07)	CO4 CO4 CO4 CO4
Q.4	Mark is the CEO of a large manufacturing company ABC Ltd.	(15)	CO1, 03, 04

He is known for his Dictatorship, which involves making all major decisions without consulting his team members. Mark believes that his approach is necessary in order to maintain a high level of control over the organization. He kept all the powers with himself and imposed strict authority over his employees. Initially the employees performed well but later many employees started leaving the organization. Because of the valuable senior employees leaving the organization the productivity of the organization declined.

Questions

1. Identify the leadership style adopted by Mark and also give your views about the style adopted by him.
2. Do u feel centralisation helps in organizational success?
Discuss
3. Discuss different management functions to be performed for organizational success.



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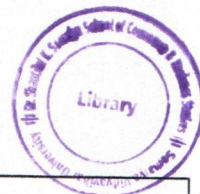
Semester (January 2023 to April 2023)		
Examination: End Semester Examination March/April 2023 (UG/PG Programmes)		
Programme code:04	Class: FY	Semester: II
Programme: BFM		
Name of the Constituent College: S K Somaiya		Name of the Department :Accounting and Finance
Course Code: 131U04C203	Name of the Course: Principles of Management	
Duration : 2 Hrs.	Maximum Marks : 60	
Instructions: Figures to the right indicates the full marks.		

Question No.		Max. Marks	Co Attainment
Q.1	(A) Enumerate the various principles of scientific management.	(08)	CO1
	(B) Define MBO along with its limitations.	(07)	CO2
	OR		
	(A) Discuss the importance of management.	(08)	CO1
Q.2	(B) Diagrammatically explain the process of planning.	(07)	CO2
	(A) Describe the concept of narrow span of control with advantages and disadvantages.	(08)	CO3
	(B) Differentiate between formal and informal organisation structure.	(07)	CO3
	OR		
Q.3	(C) Departmentation with its types.	(15)	CO3
	(A) Discuss the Principles of directing as a management function.	(08)	CO4
	(B) Enunciate the features of coordination.	(07)	CO4
	OR		
Q.4	(C) Give suitable examples explaining the importance of CSR.	(08)	CO4
	(D) Compare any four leadership styles and suggest the best according to you.	(07)	CO4
	ABC Limited was engaged in the business of food processing and selling its products under a popular brand. Lately the business was expanding due to good quality and reasonable prices. Also with the more people working the market for processed food was increasing. New players were also coming to cash in on the new trend. In order to keep market share in the	(15)	CO1 & CO 3

	<p>short run the company directed its workforce to work over time. But this resulted in many problems. Due to increased pressure of work the efficiency of the workers declined. Sometimes the subordinates had to work for more than one superior resulting in declining efficiency. The divisions that were previously working on one product were also made to work on two or more products. This resulted in a lot of overlapping and wastage. The workers were becoming undisciplined. The spirit of teamwork, which had characterized the company, previously was beginning to wane. Workers were feeling cheated and initiative was declining. The quality of the products was beginning to decline and market share was on the verge of decrease. Actually the company had implemented changes without creating the required infrastructure.</p> <p>Questions:</p> <ol style="list-style-type: none"> 1. Identify the Principles of Management (out of 14 given by Henry Fayol) that were being violated by the company. 2. Explain these principles in brief. 3. Do you feel decentralization will help in motivating the employees and improving the organizational productivity. 		
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Semester (January 2023 to April 2023)		
Examination: End Semester Examination March/April 2023 (UG/PG Programmes)		
Programme code: 04	Class: FY	Semester: II
Programme: Financial Market		
Name of the Constituent College: S K Somaiya College	Name of the Department: Financial Market	
Course Code: 131U04C204	Name of the Course: Introduction of Financial Services	
Duration : 2 Hrs.	Maximum Marks : 60	
Instructions: 1)Draw neat diagrams 2)Assume suitable data if necessary		

Question No.		Max. Mark	Co Attainment
Q.1	A) Classify Financial Services. What are the agencies providing financial services? OR B) Define Leasing. Explain Different types of Leasing. C) Outline choice criteria between Hire purchase and Leasing.	15 08 07	CO 1 CO 1 CO 1
Q.2	A) Define Factoring. Explain various types of Factoring. Discuss its advantages. OR B) Outline Principles of Insurance. C) What are the major issues of housing Finance in India?	15 10 05	CO 2 CO2 CO2
Q.3	A) Define credit rating. Illustrate credit rating methodology. Explain advantages of credit Rating. OR B) What do you mean by depository participant? List eligibility criteria for depository participants. C) Distinguish between Pledge and Hypothecation.	15 07 08	CO 3 CO4 CO 4
Q.4	Explain the following Concepts. A) Forfaiting B) Securitization C) Financial Services D) NSDL E) Graduated Payment Mortgages	15	CO2 CO3 CO1 CO4 CO3



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Semester (January 2023 to April 2023)		
Examination: End Semester Examination March/April 2023 (UG Programmes)		
Programme code: 04	Class:	Semester: II
Programme: Financial Market	FYBFM	
Name of the Constituent College: S K Somaiya College		Name of the Department: Financial Market
Course Code: 131U04C204	Name of the Course: Introduction of Financial Services	
Duration: 2 Hrs.	Maximum Marks: 60	
Instructions: 1) Draw neat diagrams 2) Assume suitable data if necessary		

Question No.		Max. Marks	CO Attainment
Q.1	A) Define Financial Services. Explain various Fund Based and Non-Fund Based Financial Services.	15	CO1
	OR		
	B) Distinguish between Leasing and Hire Purchase. C) Outline the challenges facing Financial services.	08 07	CO1 CO1
Q.2	A) What are the factors responsible for growth of housing finance services in India? List housing Finance institutions in India.	15	CO2
	OR		
	B) Explain various types of life insurance policies. C) Distinguish between factoring and forfaiting.	10 05	CO2 CO2
Q.3	A) Define Securitization. Demonstrate process of Securitization as funding mechanism. Explain the status of securitization in India along with new guidelines.	15	CO3
	OR		
	B) Illustrate the procedure for creation and acceptance of pledge. C) Outline Key features of depository system in India.	10 05	CO4 CO4
Q.4	Explain the following Concepts.	15	
	A) Non Traditional Mortgages B) National Housing Bank C) Venture Capital D) CDSL E) Hypothecation		CO3 CO2 CO1 CO4 CO4



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Semester (January 2023 to April 2023)		
Examination: End Semester Examination March/April 2023 (UG Programmes)		
Programme code: 04	Class:	Semester: II
Programme: Financial Market	FYBFM	
Name of the Constituent College: S K Somaiya College		Name of the Department: Financial Market
Course Code: 131U04C204	Name of the Course: Introduction of Financial Services	
Duration: 2 Hrs.	Maximum Marks: 60	
Instructions: 1) Draw neat diagrams 2) Assume suitable data if necessary		

Question No.		Max. Marks	CO Attainment
Q.1	A) Define Financial Services. Examine the statement "The scope of financial services is very wide."	15	CO1
	OR		
	B) Explain importance of Financial Services. C) Define Leasing. What are the limitations of Leasing?	08 07	CO1 CO1
Q.2	A) Define insurance. Classify various life and general insurance services.	15	CO2
	OR		
	B) Examine the statement "The key to high growth rates in housing finance business will continue to be affordability." C) What are the Advantages and limitations of Forfeiting?	08 07	CO2 CO2
Q.3	A) Summarize the process of Creation, Confirmation, Closure and invocation of Pledge/Hypothecation.	15	CO4
	OR		
	B) What are the steps involved in the process of Securitization? C) Explain Advantages of Credit Ratings.	08 07	CO3 CO3
Q.4	Explain the following Concepts. A) CRISIL B) Sovereign Rating C) HUDCO D) Merchant Banking E) Depository	15	CO3 CO3 CO2 CO1 CO4