



SOMAIYA

VIDYAVIHAR UNIVERSITY

Dr. Shantilal K. Somaia School of Commerce and Business Studies

QUESTION PAPERS

BRANCH: Bachelor of Commerce (Hons.)	SEM: I
	OCT-2023

Sr. No.	Subject	Available
1.	Introduction to Capital Markets (A)	
2.	Introduction to Capital Markets (B)	
3.	Fundamentals of Economics	
4.	IT in Commerce (A), (B)	
5.	Introduction to Capital Markets (C)	
6.		
7.		
8.		
9.		
10.		



LIBRARY



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Semester (November 2023)

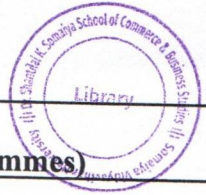
Examination: End Semester Examination October 2023 (UG Programmes)

Programme code: 01 Programme: B.Com (Hons)		Class: FYBCOM	Semester: I
Name of the Constituent College: S K Somaiya College		Name of the Department: Commerce	
Course Code:	Name of the Course: Introduction to Capital Markets		
Duration : 2 Hrs.	Maximum Marks : 60		
Instructions: 1)All questions are compulsory. 2) Figures to the right indicate full marks			

Question No.		Max. Marks
Q1 (a)	List the major stock exchanges in India. State their functions.	07 Marks
Q1 (b)	Elaborate the participants in capital markets. OR	08 Marks
Q1 (c)	Mr. Khanna, a risk averse investor is planning to invest in capital markets for 2 years. Recommend any suitable capital market instruments for him with substantial reasons.	15 Marks
Q2 (a)	Aditya Retail Private Ltd. is planning to expand its business outside India for which it wants to raise money from the capital markets. Suggest the ways in which the company can raise finance from the capital markets. OR	15 Marks
Q2 (b)	Discuss about NSE	07 Marks
Q2 (c)	Describe the benefits of depository system.	08 Marks
Q3(a)	Hiten, your friend is unwilling to buy shares in the stock market as he was told by someone that stock market is a place where various malpractices take place. He decides to discuss this matter with you. How will you explain to him how SEBI takes care of the interests of the investors? OR	15 Marks
Q3 (c)	Highlight the role of SEBI	07 Marks
Q3 (d)	Discuss risk profile of investors.	08 Marks
Q4.	Write short notes on 1. NSE 2. BSE 3. CDSL 4. NSDL 5. RBI	15 Marks



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Semester (August 2022 to January 2023)		
Examination: End Semester Examination October 2023 (UG Programmes)		
Programme code: 01 Programme: B.Com (Hons)	Class: FYBCOM	Semester: I
Name of the Constituent College: S K Somaiya College	Name of the Department: Commerce and Business Studies	
Course Code:	Name of the Course: Introduction to Capital Markets	
Duration : 2 Hrs.	Maximum Marks : 60	
Instructions: 1)All questions are compulsory. 2) Figures to the right indicate full marks		

Question No.		Max. Marks
Q1 (a) Q1 (b)	List the major stock exchanges in India. State their functions. Elaborate the participants in capital markets. OR	07 Marks 08 Marks
Q1 (c)	Mr. Khanna, a risk averse investor is planning to invest in capital markets for 2 years. Recommend any suitable capital market instruments for him with substantial reasons.	15 Marks
Q2 (a) Q2 (b) Q2 (c)	Aditya Retail Private Ltd. is planning to expand its business outside India for which it wants to raise money from the capital markets. Suggest the ways in which the company can raise finance from the capital markets. OR Discuss the functions of capital markets. Describe the benefits of depository system.	15 Marks 07 Marks 08 Marks
Q3(a) Q3 (c) Q3 (d)	Hiten, your friend is unwilling to buy shares in the stock market as he was told by someone that stock market is a place where various malpractices take place. He decides to discuss this matter with you. How will you explain to him how SEBI takes care of the interests of the investors? OR Highlight the role of RBI. Discuss any two scams that took place in Indian Stock Markets.	15 Marks 07 Marks 08 Marks
Q4(a)	Multiple Choice Questions: a. _____ regulates insurance industry in India. (RBI, IRDAI, PFRDA) b. _____ is not a depository (NSDL, NISM, CDSL) c. Brokers have to be registered with _____. (NISM, Stock exchanges, Registrar) d. _____ debentures can be transferred by mere delivery. (Registered, Bearer, Redeemable) e. _____ market is not for long term funds. (Money, Equity, Debt)	07 Marks

Q4(b)	<p>f. _____ receive dividend before equity shareholders. (Debentureholders, Preference shareholders, Creditors)</p> <p>g. In _____, shares are directly sold to a selected group of people. (Bookbuilding, Private Placement, Rights Issue)</p> <p>True or False:</p> <ol style="list-style-type: none"> NSE is the oldest stock exchange in India. Tracking stocks are a special type of stock issued by a company to represent a particular division or segment of the business. In rights issue, shares are distributed by a company to its current shareholders as fully paid shares free of charge. Listing provides enhanced visibility to issuer companies. In primary market, previously issued securities are traded. Bookbuilding is a process of price discovery. Futures contracts are customized in nature. Money Market is a part of capital markets. 	08 Marks
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Semester (July 2023 to October 2023)

Examination: End Semester Examination October/November 2023 (UG/PG Programmes)

Programme code:

Programme: Bcon (Hons)

Class: FY

Semester: I

Name of the Constituent College:

S.K.SOMAIYA COLLEGE

Name of the Department: Accounting & Finance

Course Code:

Name of the Course: Fundamentals of Economics

Duration : 2 Hrs.

Maximum Marks : 60

Instructions: 1) Draw neat diagrams 2) Assume suitable data if necessary 3)

Question No.		Max. Marks	Co Attainment
Q.1	Answer the following (Any Three) a) Illustrate in details about the essential features of the different types of markets existing in the economy. b) Summarize the concept of Utility & discuss Cardinal Utility c) Examine in details the about the method of determining demand of a commodity in the market. d) Outline in details about a collusive oligopoly e) Identify the long run equilibrium of a perfect competition market	15	CO1, CO2, CO3, CO4
Q.2	a) Discuss a case study on consumer equilibrium of a market where there is shift in price resulting to change consumer equilibrium b) Compare between Income & Price effect OR c) Explain how Consumer behavior is expressed firmly by Engels Curve. d) Explain in detail the theory of indifference curve and consumer behavior in selection of the goods	15	CO1 CO02
Q.3	a) Discuss a case study on Price change effect on the demand of a regular consumer goods and its changes as per the classification of goods b) Explain the choices of a consumer & their changes due to income effect OR c) Analyze the term of price rigidity. Why is it an essential part of the market. d) Summarize the features of a Monopoly market and short run function in market.	15	CO3
Q.4			

	<p>a) Discuss a case study on startups in India and how they managed to become the technological monopoly in India</p> <p>b) List the reasons for the fall of the economy due to its nature of closed structure.</p> <p style="text-align: center;">OR</p> <p>c) How does natural monopoly affect the market and why are the pricing exclusively decided by the monopolist</p> <p>d) Analyze the working of innovations in the digital markets and how they have eased your life and its working.</p>	15	CO4



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Semester (July 2023 to October 2023)		
Examination: End Semester Examination October/November 2023 (UG/PG Programmes)		
Programme code: 77 Programme: BCOM(H)	Class: FY	Semester: I
Name of the Constituent College: S K Somaiya College	Name of the Department Commerce	
Course Code:	Name of the Course: IT IN COMMERCE	
Duration : 2 Hrs.	Maximum Marks : 60	
Instructions: 1)Draw neat diagrams 2)Assume suitable data if necessary		

Question No.		Max. Marks	Co Attainment																					
Q.1	A	Elaborate the Applications of R-Statistical software in different sectors	8	CO1,CO2,																				
	B	Explain the basic functions used in MS-Excel	7																					
	OR																							
Q 1	C	Explain how professional Emails work and MIME purpose	7	CO1,CO3																				
	D	How to influence audience with a good presentation using a PowerPoint presentation	8																					
Q.2	A	Compare with the working process of E-business and E-commerce	8	CO2,CO3																				
	B	Explain a Website and give types of Website	7																					
	OR																							
	C	Define briefly different ways of Internet Connection	7	CO2,CO3																				
	D	Explain about E-governance and its types with examples	8																					
Q.3	A	Write the HTML code for the following:	15	CO4																				
<table><tr><th>No.</th><th>Full Name</th><th>Position</th><th>Salary</th></tr><tr><td>1</td><td>Bill Gates</td><td>Founder Microsoft</td><td>\$1000</td></tr><tr><td>2</td><td>Steve Jobs</td><td>Founder Apple</td><td>\$1200</td></tr><tr><td>3</td><td>Larry Page</td><td>Founder Google</td><td>\$1100</td></tr><tr><td>4</td><td>Mark Zuckeberg</td><td>Founder Facebook</td><td>\$1300</td></tr></table>					No.	Full Name	Position	Salary	1	Bill Gates	Founder Microsoft	\$1000	2	Steve Jobs	Founder Apple	\$1200	3	Larry Page	Founder Google	\$1100	4	Mark Zuckeberg	Founder Facebook	\$1300
No.	Full Name	Position	Salary																					
1	Bill Gates	Founder Microsoft	\$1000																					
2	Steve Jobs	Founder Apple	\$1200																					
3	Larry Page	Founder Google	\$1100																					
4	Mark Zuckeberg	Founder Facebook	\$1300																					

	OR		
B	<p>Write HTML code for the following output:</p> <p>List of food items</p> <p>I. Fruit</p> <ol style="list-style-type: none"> 1. Bananas 2. Apples <ol style="list-style-type: none"> a. Green b. Red 3. Pears <p>II. Vegetables</p> <ol style="list-style-type: none"> 1. Tomatoes 2. Cucumbers 3. Capcicum <ol style="list-style-type: none"> a. Green b. Red c. Yellow 4. Cabbage 5. Brinjal 6. Okra <p>III. Cereals</p> <p>IV. Pulses</p>	15	CO4
Q.4	<p>Solve the following:(3 marks each)</p> <ol style="list-style-type: none"> 1) Convert the following into Decimal <ol style="list-style-type: none"> a) (10111110)₂ b) (DF)₁₆ c) (375)₈ 2) Convert the following into Octal <ol style="list-style-type: none"> a) (CD987)₁₆ b) (195)₁₀ c) (11101010100110000)₂ 3) Convert the following into Hexadecimal <ol style="list-style-type: none"> a) (65745)₈ b) (1010111110101010111)₂ c) (219)₁₀ 4) Perform Number System Subtraction <ol style="list-style-type: none"> a) (101011111101)₂ - (1110111)₂ b) (753)₈ - (542)₈ c) (DE879)₁₆ - (AB641)₁₆ 5) Perform Number System Addition <ol style="list-style-type: none"> a) (1010111110101)₂ + (1011101)₂ b) (AB87)₁₆ + (8745)₁₆ c) (6544)₈ + (4533)₈ 	15	CO1,CO2, CO3



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Examination: End Semester Examination October/November 2023 (UG/PG Programmes)		
Programme code: 77 Programme: BCOM(H)	Class: FY	Semester: I
Name of the Constituent College: S K Somaiya College	Name of the Department Commerce	
Course Code:	Name of the Course: IT IN COMMERCE	
Duration : 2 Hrs.	Maximum Marks : 60	
Instructions: 1)Draw neat diagrams 2)Assume suitable data if necessary		

Question No.		Max. Marks	Co Attainment																						
Q.1	A	7	CO1,CO2, CO3																						
	B	8																							
	Elaborate about URL and its relation with Domain Name System																								
	Explain the features of MS-Word and compare it with Google Docs																								
	OR																								
	C	7	CO1,CO2, CO3																						
	D	8																							
	Describe the features of R-Software																								
	Describe briefly about features used in Power Point Presentation																								
Q.2	A	8	CO2, CO3,CO4																						
	B	7																							
	Give the pros and cons of E-commerce																								
	Compare the process of Web Browser and Search Engine																								
	OR																								
	C	8	CO1,CO2, CO3																						
	D	7																							
	Elaborate how Amazon is an example of E-commerce																								
	Justify how internet is useful in today's generation																								
Q.3	A	15	CO4																						
	Write HTML code for the following output:																								
	Task 1:																								
	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th rowspan="2">Student Name</th><th rowspan="2">Courses</th><th colspan="2">Hours</th></tr> <tr> <th>Credit</th><th>Contact</th></tr> <tr> <td>Adam</td><td>Programming</td><td>4</td><td>5</td></tr> <tr> <td>Harry</td><td>ITC</td><td>4</td><td>5</td></tr> <tr> <td>Jill</td><td>English</td><td>3</td><td>3</td></tr> <tr> <td>Tom</td><td>Maths</td><td>3</td><td>3</td></tr> </table>	Student Name	Courses	Hours		Credit	Contact	Adam	Programming	4	5	Harry	ITC	4	5	Jill	English	3	3	Tom	Maths	3	3		
Student Name	Courses			Hours																					
		Credit	Contact																						
Adam	Programming	4	5																						
Harry	ITC	4	5																						
Jill	English	3	3																						
Tom	Maths	3	3																						
	OR																								
B	Write HTML code for the following output:		CO4																						

Preceding Text

- I. List Item 1
 - a. Nested Item 1.1
 - b. Nested Item 1.2

- II. List Item 2
 - 1. Nested Item 2.1
 - 2. Nested Item 2.2
 - o Nested Item 2.2.1
 - o Nested Item 2.2.2
 - Nested Item 2.2.2.1
 - Nested Item 2.2.2.2
 - o Nested Item 2.2.3
 - 3. Nested Item 2.3

- III. List Item 3
 - o Nested Item 3.1
 - o Nested Item 3.2
 - o Nested Item 3.3

- Q.4 Solve the following:(3 marks each)
- 1) Convert the following into Hexadecimal
 - a) $(111010101110111101)_2$
 - b) $(76543)_8$
 - c) $(239)_{10}$

 - 2) Perform Number System Subtraction
 - a) $(ED329)_{16} - (AB67)_{16}$
 - b) $(1011011001)_2 - (1110111)_2$
 - c) $(76453)_8 - (23421)_8$

 - 3) Convert the following into Octal
 - a) $(176)_{10}$
 - b) $(DE764)_{16}$
 - c) $(1101101010011101011)_2$

 - 4) Perform Number System Addition
 - a) $(11101010001)_2 + (10110111)_2$
 - b) $(DFE78)_{16} + (AB432)_{16}$
 - c) $(65463)_8 + (23422)_8$

 - 5) Convert the following into Decimal
 - a) $(10101111)_2$
 - b) $(227)_8$
 - c) $(CE)_{16}$

15

CO1,CO2,

EC



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Programme code: 01 Programme: B.Com (Hons)	Class: FYBCOM	Semester: I
Name of the Constituent College: S K Somaiya College	Name of the Department: Commerce and Business Studies	
Course Code:	Name of the Course: Introduction to Capital Markets	
Duration : 2 Hrs.	Maximum Marks : 60	
Instructions: 1)All questions are compulsory. 2) Figures to the right indicate full marks		

Question No.		Max. Marks
Q1 (a)	Highlight the structure of the Indian Financial System.	07 Marks
Q1 (b)	Discuss the types of bonds in detail.	08 Marks
	OR	
Q1 (c)	Ms. Shah, a risk seeking investor is planning to invest in capital markets for 05 years. Recommend any suitable capital market instruments for her with reasons.	15 Marks
Q2 (a)	Vithal manufacturers, a listed company with NSE, is setting up a new factory for which it is raising finance from the equity markets. They have also decided to give a special privilege to the existing shareholders to subscribe to the new issue of shares where they will be offered shares according to the proportion of shares held by them. The company always gives special treatment to loyal stakeholders. Answer the following questions with respect to the above case: i. Explain the method of raising finance being considered by Vithal manufacturers. ii. Which type of issue is the company planning for existing shareholders? iii. Briefly elaborate the functions of the stock exchange the company is currently listed in.	15 Marks
	OR	
Q2 (c)	Elaborate the hybrid instruments of capital markets.	07 Marks
Q2 (d)	Explain stock market Indices with examples.	08 Marks
Q3(a)	Enumerate the functions of RBI.	07 Marks
Q3 (b)	Discuss the benefits of depositories.	08 Marks
	OR	
Q3 (c)	State the role of IRDAI.	07 Marks
Q3 (d)	Comment on the impact of major financial scams on Indian Financial Markets.	08 Marks
Q4(a)	Multiple Choice Questions: a. _____ are contracts whose value is derived from some underlying assets. (Equity shares, Derivatives, Bonds) b. _____ is an institution that holds securities in physical form. (Stock exchanges, SEBI, Depositories)	07 Marks

	<p>c. _____ debentures are recorded in the company's register. (Bearer, Registered, Perpetual)</p> <p>d. IPO is a part of _____ market. (Primary, Secondary, Money)</p> <p>e. _____ should not be done while investing in capital markets (Keep record of documents, Keep same passwords for online accounts, Update change of contact details with the DP)</p> <p>f. IEPF stands for _____ (Investor Education and Protection Fund, Investor Education and Protection Finance, International Education and Protection Fund)</p> <p>g. _____ acts as a banker to the government. (RBI, HDFC, Bank of India)</p>	
Q4(b)	<p>True or False:</p> <p>a. Forwards are customized in nature.</p> <p>b. Stock exchange is a centralized location where the shares of publicly traded companies are bought and sold.</p> <p>c. IEPF is a portal to register online complaints with SEBI.</p> <p>d. Disaster Bonds are issued by companies and institutions to share the risk and expand the capital to link investors' returns with the size of insurer losses.</p> <p>e. IRDAI is the regulator for banks in India.</p> <p>f. Government is restricted from trading in the primary equity market.</p> <p>g. Bonus shares are distributed by a company to its current shareholders as fully paid shares free of charge.</p> <p>h. Secondary Market is a market wherein newly issued securities are sold to investors.</p>	08 Marks